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Total Number of Pages in This Submission

12

Application Number 09/923,035

Filing Date August 6, 2001

First Named Inventor John Nafeh

Art Unit 3692

Examiner Name Elda G. Milef

Attorney Docket Number PA3817US

### ENCLOSURES (Check all that apply)

<input type="checkbox"/> Fee Transmittal Form	<input type="checkbox"/> Drawing(s)	<input type="checkbox"/> After Allowance Communication to TC
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### SIGNATURE OF APPLICANT, ATTORNEY, OR AGENT

Firm Name	Carr & Ferrell LLP			Cust. No. 22830
Signature	<i>K. Brian Bathurst</i>			
Printed name	K. Brian Bathurst			
Date	July 3, 2008	Reg. No.	51,442	

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**IN THE UNITED STATES PATENT AND TRADEMARK  
OFFICE**

APPLICANTS: John Nafeh and Kenton K. Yee  
SERIAL NO.: 09/923,035  
FILING DATE: August 6, 2001  
TITLE: Methods and Apparatus for Formulation, Initial Public or Private  
Offering, and Secondary Market Trading of Risk Management  
Contracts  
EXAMINER: Milef, Elda G.  
ART UNIT: 3692  
CONF. NO.: 8692  
ATTY.DKT.NO.: PA3817US

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**AMENDMENT SUBMITTED IN CONNECTION WITH EXAMINER  
INTERVIEW OF JULY 3, 2008**

Dear Examiner Milef:

Thank you for taking the time to speak with me today regarding this application.  
Please find included herewith amended claims and remarks submitted per today's  
interview.